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Sec 605
7217/65186
11/23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kazunobu Kubota
Serial No.: 09/920,133
Filed : August 1, 2001
For : APPARATUS FOR AND METHOD OF
PROCESSING AUDIO SIGNAL
Attention : Customer Service Center
Initial Patent Examination Division

I hereby certify that this paper is being
deposited this date with the U.S. Postal
Service in first class mail addressed to
Assistant Commissioner for Patents,
Washington, D.C. 20231.

Jay H. Maioli
Reg.No. 27,213

Date
October 16, 2001

October 16, 2001
1185 Avenue of the Americas
New York, NY 10036
(212) 278-0400

RESPONSE TO NOTIFICATION TO FILE MISSING PARTS
OF APPLICATION-FILING DATE GRANTED

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Parts of
Application-Filing Date Granted mailed September 7, 2001.
Applicants hereby submit a newly executed Declaration that
identifies the above application by serial number and filing
date.

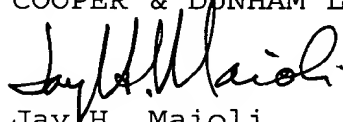
The required surcharge is also submitted herewith
along with a copy of the subject Notice.

Accordingly, it is respectfully submitted that all
elements of the application have now been filed and an early

and favorable examination on the merits is earnestly solicited.

Respectfully submitted,

COOPER & DUNHAM LLP

A handwritten signature in black ink, appearing to read "Jay H. Maioli". The signature is written in a cursive, flowing style.

Jay H. Maioli
Reg. No. 27,213

File: 7217/65186
JHM:ma
Enc.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/920,133 | 08/01/2001 | Kazunobu Kubota | 7217/65186 |

CONFIRMATION NO. 5686

FORMALITIES LETTER



OC000000006530776

COOPER & DUNHAM LLP
1185 Avenue of the Americas
New York, NY 10036

Date Mailed: 09/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

KRAXSON

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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